# UTILITY PATENT APPLICATION TRANSMITTAL

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

| | |
|---|---|
| *Attorney Docket No.* | 00684.003517 |
| *First Named Inventor or Application Identifier* | |
| Tatsuya KOBAYASHI, et al. | |
| *Express Mail Label No.* | |

## APPLICATION ELEMENTS

*See MPEP chapter 600 concerning utility patent application contents.*

**ADDRESS TO:** Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☐ **Fee Transmittal Form**
(Submit an original, and a duplicate for fee processing)

2. ☐ **Applicant claims small entity status.**
See 37 CFR 1.27.

3. ☒ **Specification** *Total Pages* [31]

4. ☒ **Drawing(s)** *(35 USC 113)* *Total Sheets* [11]

5. ☐ **Oath or Declaration** *Total Pages* [ ]

   a. ☐ Newly executed (original or copy)

   b. ☐ Copy from a prior application (37 CFR 1.63(d))
   *(for continuation/divisional with Box 17 completed)*

     i. ☐ **DELETION OF INVENTOR(S)**
Signed Statement attached deleting
inventor(s) named in the prior application, see
37 CFR 1.63(d)(2) and 1.33(b).

6. ☒ **Application Data Sheet.** See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program *(Appendix)*

8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*

   a. ☐ Computer Readable Form (CRF)

   b. Specification Sequence Listing on:

     i. ☐ CD-ROM or CD-R (2 copies); or

     ii. ☐ paper

   c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) Statement *(when there is an assignee)*   ☐ Power of Attorney

11. ☐ English Translation Document *(if applicable)*

12. ☒ Information Disclosure Statement (IDS)/PTO-1449   ☒ Copies of IDS Citations

13. ☐ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503)
*(Should be specifically itemized)*

15. ☐ Certified Copy of Priority Document(s)
*(if foreign priority is claimed)*

16. ☐ Other: _____

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**17.** If a CONTINUING APPLICATION, *check appropriate box and supply the requisite information:*

☐ Continuation   ☐ Divisional   ☐ Continuation-in-part (CIP)   of prior application No. ___/_____

*Prior application information:*   Examiner _____   Group/Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation <u>can only</u> be relied upon when a portion has been inadvertently omitted from the submitted application parts.

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## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label   **05514**
(Insert Customer No. or Attach bar code label here)   or   ☐ Correspondence address below

| NAME | |
|---|---|
| Address | |

| City | | State | | Zip Code | |
|---|---|---|---|---|---|
| Country | | Telephone | | Fax | |

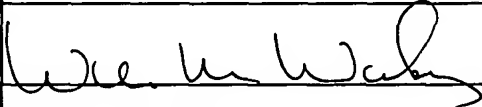| CLAIMS | (1) FOR | (2) NUMBER FILED | (3) NUMBER EXTRA | (4) RATE | (5) CALCULATIONS |
|---|---|---|---|---|---|
| | TOTAL CLAIMS (37 CFR 1.16(c)) | 9-20 = | 0 | X $ 18.00 = | $ 0.00 |
| | INDEPENDENT CLAIMS (37 CFR 1.16(b)) | 1-3 = | 0 | X $ 84.00 = | $ 0.00 |
| | MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) | | | $280.00 = | $ 0.00 |
| | | | | BASIC FEE (37 CFR 1.16(a)) | $750.00 |
| | | | | Total of above Calculations = | $750.00 |
| | Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28). | | | | |
| | | | | TOTAL = | $750.00 |

19. Small entity status

    a. ☐ A small entity statement is enclosed

    b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.

    c. ☐ Is no longer claimed.

20. ☒ A check in the amount of $ 750.00 to cover the filing fee is enclosed.

21. ☐ A check in the amount of $ _____ to cover the recordal fee is enclosed.

22. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 06-1205:

    a. ☒ Fees required under 37 CFR 1.16.

    b. ☒ Fees required under 37 CFR 1.17.

    c. ☐ Fees required under 37 CFR 1.18.

| *SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED* | |
|---|---|
| NAME | William M. Wannisky - Registration No. 28,373 |
| SIGNATURE | |
| DATE | September 22, 2003 |

WMW\tas